#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael TESAR et al.

Title: **ANTI-CD38 HUMAN ANTIBODIES AND** 

USES THEREFOR

Appl. No.: 10/588,568

International 2/7/2005

Filing Date:

371(c) Date: 10/14/09

Examiner: Anne Gussow

Art Unit: 1643

Conf. No.: 8662

## PETITION FOR AN UNINTENTIONALLY DELAYED DOMESTIC PRIORITY CLAIM UNDER 37 C.F.R. § 1.78(a)(3)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir:

Pursuant to 37 C.F.R. § 1.78(a)(3), Applicant hereby petitions for acceptance of an unintentionally delayed domestic priority claim.

### Benefit of priority sought

Applicant seeks benefit of priority under 35 U.S.C. § 119(e) to U.S. Provisional Application No. 60/614,471, filed October 1, 2004.

### Priority is claimed and perfected in the parent PCT application

This application is the U.S. National Stage of PCT/IB2005/002476 which claims benefit of the filing date of U.S. Provisional Application No. 60/614,471.

PCT/IB2005/002476, which had erroneously omitted reference to U.S. Provisional Application No. 60/614,471, was corrected at the PCT to include under (30) "60/614,471, 01 October 2004, US," in a Correction of Entry in Section I of the PCT Gazette published on

October 5, 2006 (see **Attachment A**). U.S. Provisional Application No. 60/614,471 was also reflected in the priority data on the front page of WO 2005/103083 A3 published on November 16, 2006, with the International Search Report (see **Attachment B**). A certified copy of U.S. Provisional Application No. 60/614,471, has been submitted to WIPO and is acknowledged as such in the "Related Documents on File" in the Documents tab of the WIPO website as of November 3, 2005 (see **Attachment C**). Accordingly, PCT/IB2005/002476 claims benefit of priority to U.S. Provisional Application No. 60/614,471.

# The intent to claim priority is evident in the U.S. national stage, but was not perfected because of failure to submit an Application Data Sheet

Upon entry of the US National Stage of PCT/IB2005/002476, on August 4, 2006, in view of the above-identified corrections made in PCT/IB2005/002476, it was erroneously believed that no further action was necessary to perfect the priority claim in the present US National Stage Application.

Further, in the Declaration filed on October 14, 2009 (copy in the Image File Wrapper), U.S. Provisional Application No. 60/614,471 is listed among the applications for which benefit under 35 USC 119(e) is claimed. It is clear from the foregoing facts that Applicant intended to claim the benefit of priority to U.S. Provisional Application No. 60/614,471,

To date, however, the reference required by 35 U.S.C. § 120 and 37 C.F.R. § 1.78(a)(2) has not been made in the present application, and the records of the USPTO do not reflect any claim to U.S. Provisional Application No. 60/614,471. Therefore, an Application Data Sheet is submitted herewith to meet the reference required by 37 U.S.C. § 120.

#### **Delay was unintentional**

This error was only recently discovered. The entire delay between the date that this claim was due under 37 C.F.R. § 1.78(a)(2) and the date this claim is being filed was unintentional.

Favorable action is solicited.

This petition is accompanied by a credit card payment form in the amount of \$1,410.00 for the requisite surcharge set forth in 37 C.F.R. 1.17(t). Should any further payments be required regarding this petition, the Commissioner is hereby authorized to charge them to Deposit Account No. 19-0741.

Respectfully submitted,

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FOLEY & LARDNER LLP Customer Number: 22428

Telephone: (202) 295-4726 Facsimile: (202) 672-5399 Simon J. Elliott

Attorney for Applicant Registration No. 54,083

## CORRECTED VERSION

ATTACHMENT A

### (19) World Intellectual Property Organization International Bureau



(43) International Publication Date 3 November 2005 (03.11.2005)

## (10) International Publication Number WO 2005/103083 A2

- (51) International Patent Classification: C07K 16/00 (2006.01)
- (21) International Application Number:

PCT/IB2005/002476

- (22) International Filing Date: 7 February 2005 (07.02.2005)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:

60/541,911	6 February 2004 (06.02.2004)	US
60/547,584	26 February 2004 (26.02.2004)	US
60/553,948	18 March 2004 (18.03.2004)	US
60/599,014	6 August 2004 (06.08.2004)	US
60/614,471	1 October 2004 (01.10.2004)	US

(71) Applicant (for all designated States except US): MOR-PHOSYS AG [DE/DE]; Lena-Christ-Str. 48, 82152 Martinsried/Planegg (DE).

- (72) Inventors; and
- (75) Inventors/Applicants (for US only): TESAR, Michael [DE/DE]; Karolingerstrasse 26, 82362 Weilheim (DE). JAGER, Ute [DE/DE]; Sammtstrasse 5, 81669 Munchen
- (74) Agent: JONES DAY; Prinzregentenstrasse 11, 80538 Munich (DE).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH,

[Continued on next page]

### (54) Title: ANTI-CD38 HUMAN ANTIBODIES AND USES THEREFOR

#### Variable Heavy Chain DNA

#### 3077\_VH1B (SEQ ID NO: 1):

(1)	CAGGTGCAAT	TGGTTCAGAG	CGGCGCGGAA	CTGAAAAAAC	CCCCCCCCAG
(51)	CGTGAAAGTG	AGCTGCAAAG	CCTCCGGATA	TACCTTTACT	TCTTATTCTA
(101)	TTAATTCCCT	CCGCCAAGCC	CCTGGGCAGG	GICTCGAGTG	GATGGGCTAT
(151)	ATCGATCCGA	ATCGTGGCAA	TACGAATTAC	GCGCAGAAGT	TTCAGGGCCG
(201)	GGTGACCATG	ACCCGTGATA	CCAGCATTAG	CACCGCGTAT	ATGGAACTGA
(251)	GCAGCCTGCG	TAGCGAAGAT	ACCCCCCTCT	ATTATTGCGC	GCGTCAGTAT
(301)	ATTTATTTA	TICATGGTAT	GCTTGATTTT	TGGGGCCAAG	GCACCCTGGT
(351)	GACGGTTAGC	TCA			

#### 3079 VH3 (SEO ID NO: 2):

(1)	CAGGTGCAAT	TOGTGGAAAG	CCGCCGCCGCC	CTCCTCCAAC	CGGGCGGCAG
(51)	CCTGCGTCTG	AGCTGCGCGG	CCTCCGGATT	TACCTTTTCT	AATTATGGTA
(201)	TOCATTOGOT	GCGCCAAGCC	CCTGGGAAGG	GTCTCGAGTG	OGTGAGCAAT
(151)	ATCCGTTCTG	ATGGTAGCTG	GACCTATTAT	GCGGATAGCG	TGAAAGCCCC
(201)	TITTACCATT	TCACGTGATA	ATTCGAAAAA	CACCCTGTAT	CTGCAAATGA
(251)	ACAGCCTGCG	TGCGGAAGAT	ACGGCCCTGT	ATTATTGCGC	GCGTCGTTAT
(301)	TOGTCTAAGT	CTCATGCTTC	TGTTACTGAT	TATTGGGGCC	AAGGCACCCT
	COMO NO COMO				

#### 3080\_ VH3 (SEQ ID NO: 3):

(1)	CAGGTGCAAT	TGGTGGAAAG	COGCGGCCGC	CTGGTGCAAC	COGGCGOCAG	
(51)	CCTGCGTCTG	ACCIGCGCGG	CCTCCGGATT	TACCTTTTCT	TCTTATGGTA	
(101)	TGCATTGGGT	GCGCCAAGCC	CCTGGGAAGG	GTCTCGAGTG	GGTGAGCAAT	
{151}	ATCTATTCTG	ATGGTAGCAA	TACCITITAT	GCGGATAGCG	TGAAAGGCCG	
(201)	TTTTACCATT	TCACGTGATA	ATTCGAAAAA	CACCCTGTAT	CTGCAAATGA	
(251)	ACAGCCTGCG	TGCGGAAGAT	ACCIGCCGTGT	ATTATTGCGC	GCGTAATATG	
(301)	TATCGTTGGC	CTITTCATTA	TITTTTTGAT	TATTGGGGGCC	AACGCACCCT	
	GGTGACGGTT					

#### 3100\_VH 3 (SEQ ID NO: 4):

(1)	CAGGTGCAAT	TOGTOGAAAG	CGGCGGCGGC	CTGGTGCAAC	CGGGCGGCAG
(51)	CCICCGICIG	AGCTGCGCGG	CCTCCGGATT	TACCTTTTCT	TCTAATGGTA
(101)	TGTCTTGGGT	GCGCCAAGCC	CCTGGGAAGG	GTCTCGAGTG	GGTGAGCAAT
(151)	ATCTCTTATC	TTTCTACCTC	TACCTATTAT	OCCGATAGCG	TGAAAGGCCG
(201)	TITTACCATT	TCACGTGATA	ATTCGAAAAA	CACCCTGTAT	CTGCAAATGA
(251)	ACAGCCTGCG	TGCGGAAGAT	ACGGCCGTGT	ATTATTGCGC	GCGTTTTTAT
		ATTATECTGA	TGTTTGGGGC	CAAGGCACCC	TOGTGACGGT
(351)	TAGCTCA				

#### 3077\_1\_VH1B (SEQ ID NO: 31):

(1)	CAGGTGCAAT	TAGTCCAAAG	TOOTGCGGAA	GTGAAAAAAC	COGGCGCGAG
(51)	CCTGAAAGTG	AGCTGCAAAG	CCTCCGGATA	TACCTTTACT	TCTTATTCTA

(101)	TTAATTGGGT	CCGCCAAGCC	CCTGGGCAGG	GTCTCGAGTG	GATGGGGCTAT
(151)	ATCGATCCGA	ATCCTCCCAA	TACGAATTAC	GCGCAGAAGT	TTCAGGGCC
(201)	GGTGACCATG	ACCCCTGATA	CCAGCATTAG	CACCGCGTAT	ATGGAACTG
(251)	GCAGCCTGCG	TAGCGAAGAT	ACGGCCGTGT	ATTATTOMC	COSTGACTAT
			GCTTGATTTT		
	GACGGTTAGC				

(57) Abstract: The present invention provides recombinant antigenbinding regions and antibodies and functional fragments containing such antigen-binding regions that are specific for CD38, which plays an integral role in various disorders or conditions. These antibodies, accordingly, can be used to treat, for example, hematological malignancies such as multiple myeloma. Antibodies of the invention also can be used in the diagnostics field, as well as for investigating the role of CD38 in the progression of disorders associated with malignancies. The invention also provides nucleic acid sequences encoding the foregoing antibodies, vectors containing the same, pharmaceutical compositions and kits with instructions for use. The invention also provides isolated novel epitopes of CD38 and methods of use therefore.



## WO 2005/103083 A2



GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

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Search result: 1 of 1

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